



# Authority requirements Part-AR

**OPS Workshop Austria**  
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**EASA**

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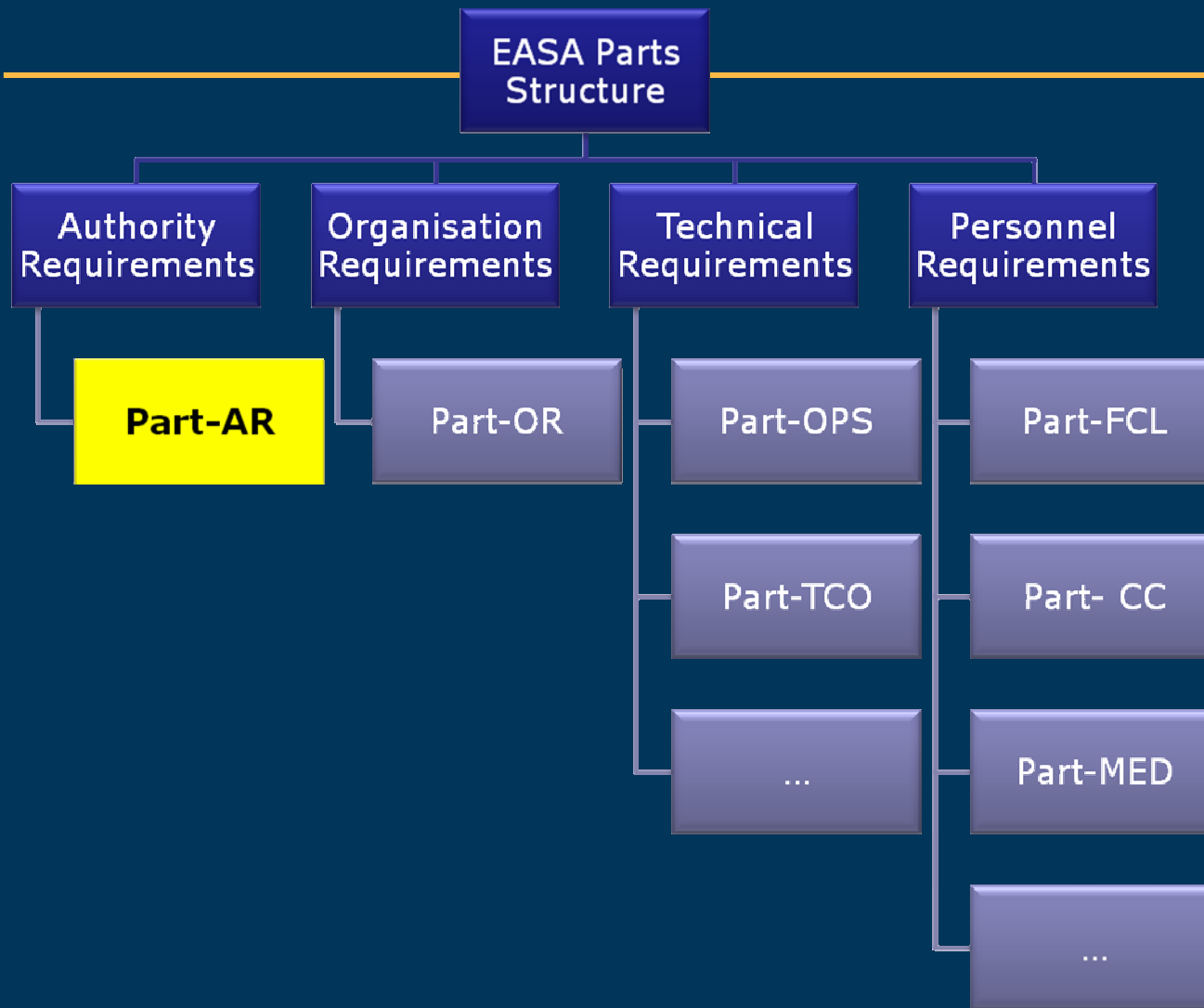
## Outline

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- ✦ **Part-AR structure**
- ✦ **AR.GEN**
- ✦ **AR.OPS**
- ✦ **AR.CC**



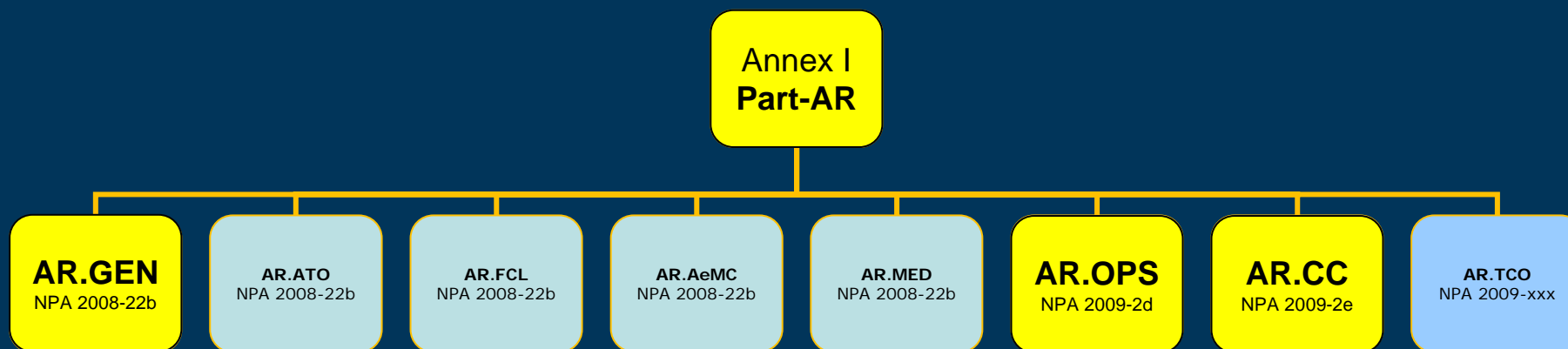
# New Parts Structure - proposed





# Part-AR

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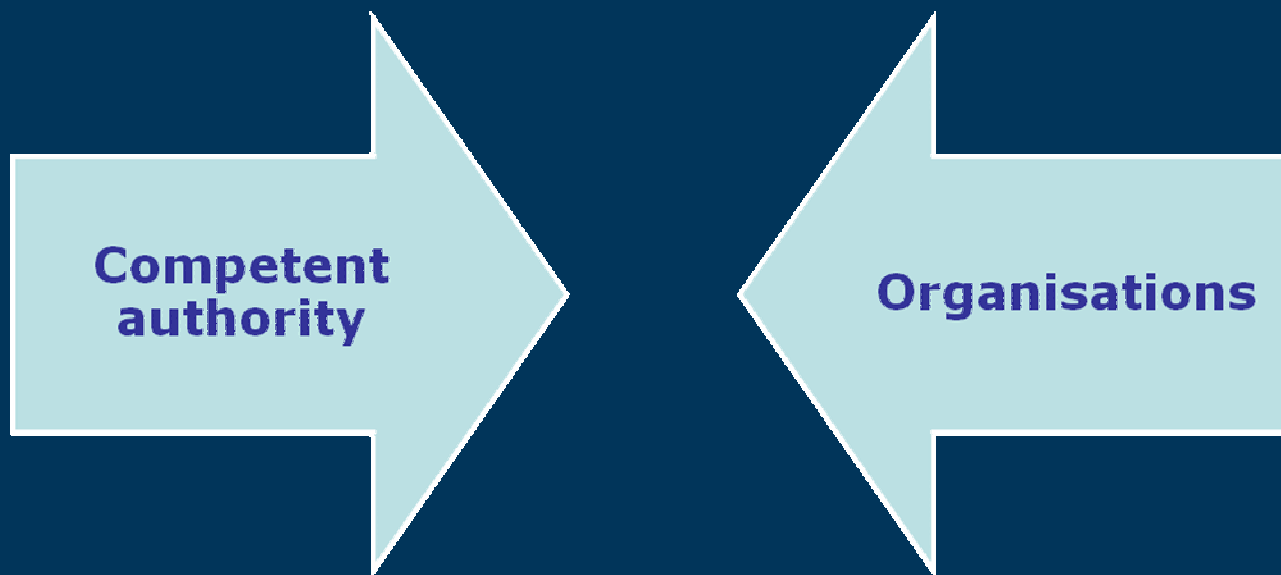


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## Part-AR Content

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- ✦ **Contains requirements to be followed by the competent authorities**
- ✦ **Ensures standardisation**
- ✦ **Complements requirements for organisations**





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## Authority Requirements

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➤ **AR provide the basis for:**

- ✦ Better consistency for approvals of activities
- ✦ Enhancing collaboration between EASA Member States
- ✦ Efficient oversight
- ✦ Identifying risks with a view of enhancing oversight



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## AR.GEN

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### ➤ Subpart AR.GEN contains:

- ✦ **Section 1 – General**
- ✦ **Section 2 – Management system**
- ✦ **Section 3 – Certification, oversight and enforcement**
- ✦ **Section 4 – Ramp inspections**



## Approval process

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- ★ **Commercial operations**
    - Certification
  - ★ **Non-commercial operations of complex motor-powered aircraft**
    - Declaration
  - ★ **Non-commercial operations of other than complex motor-powered aircraft**
    - No preliminary requirement
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# Approval process

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Application from  
an organisation  
for an approval/  
an approval change

Verification  
(documents,  
inspection)

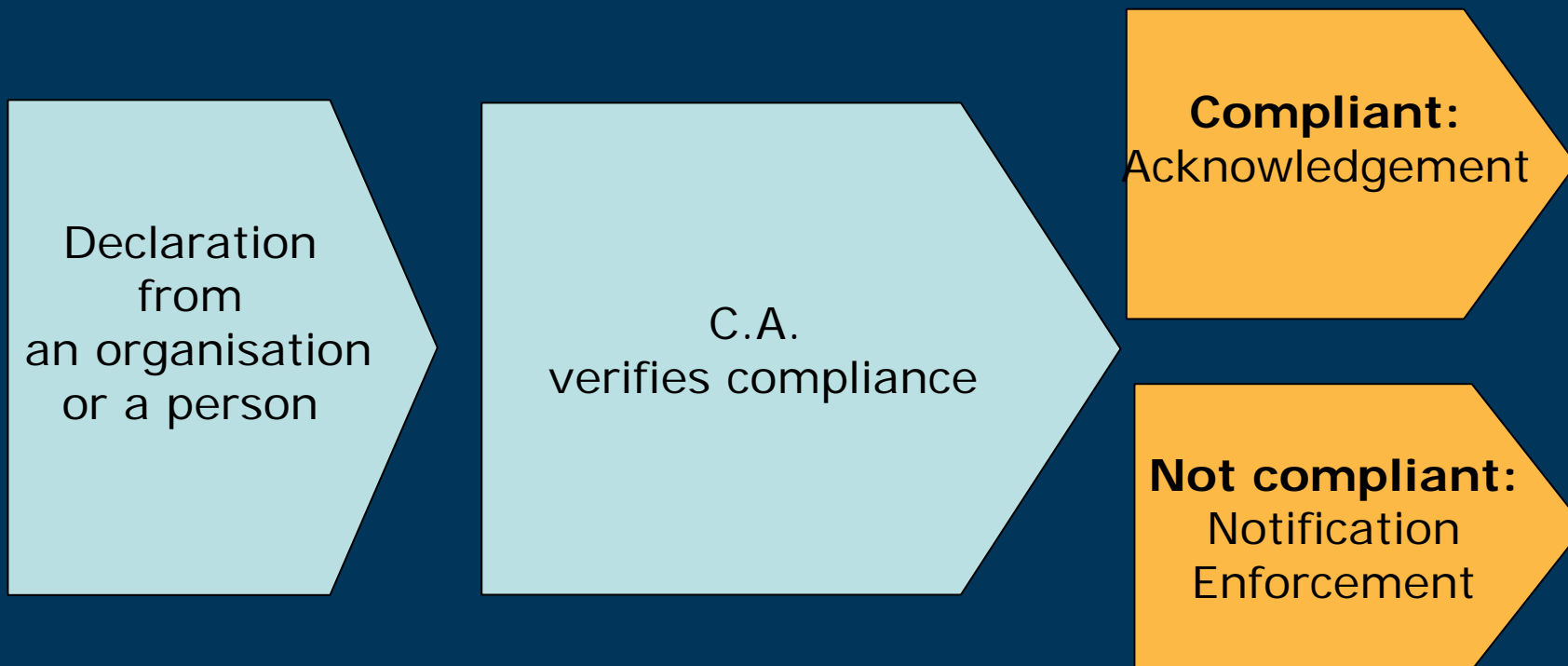
Approval  
Certificate  
(scope  
of activities)

Continuing  
oversight  
(continued  
validity)



# Declaration process

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## Oversight

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### Oversight is conducted:

- For organisations with their principal place of business in a Member State
    - ✦ By the authority designated by that Member State
  - For organisations with their principal place of business in a third country
    - ✦ By EASA
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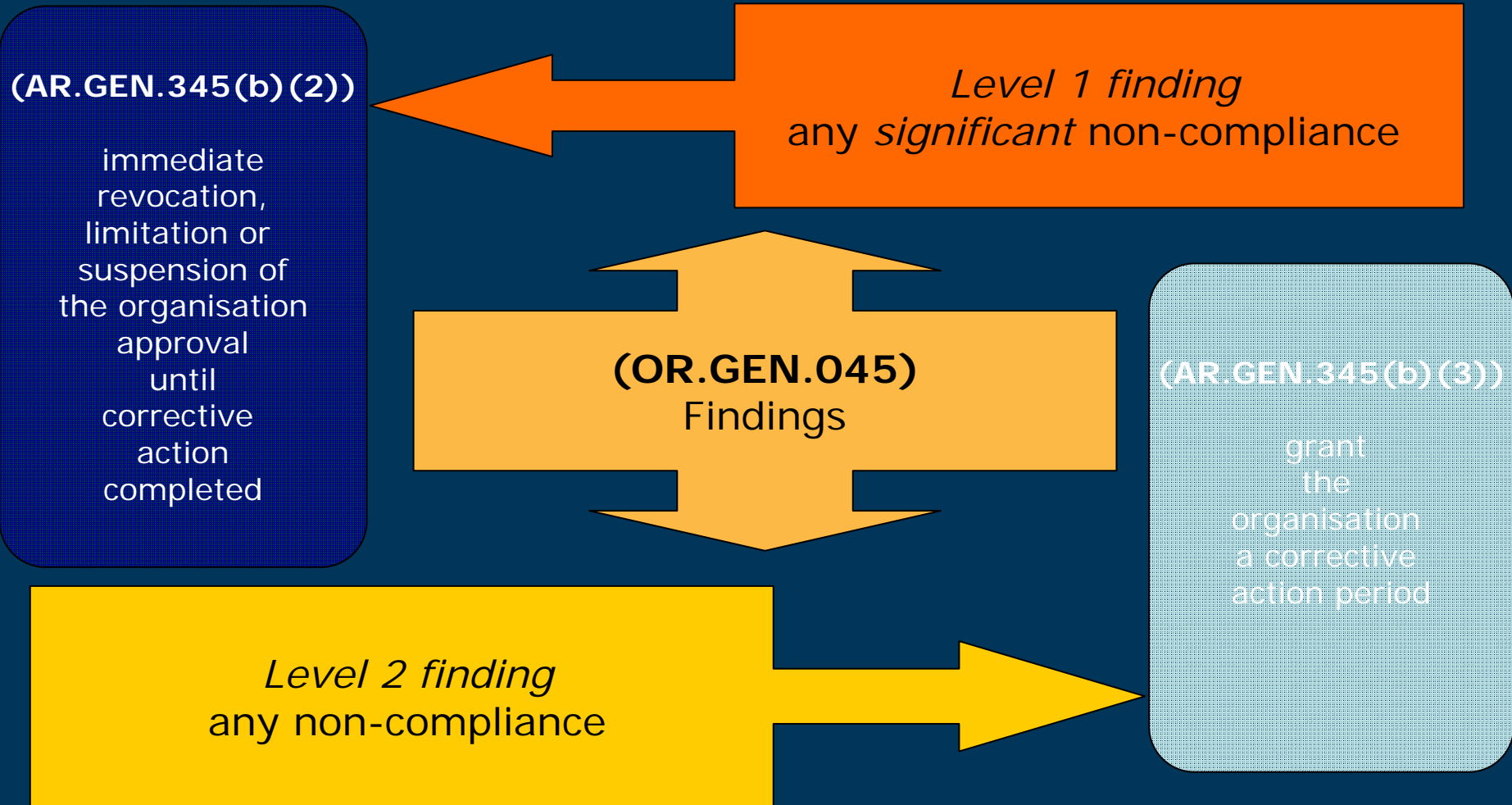
## Continuing oversight

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- **Continuing oversight and monitoring programme:**
    - ✦ **Risk-based oversight**
    - ✦ **Regular audits (< 24 months)**
    - ✦ **Meetings with accountable managers (< 24 months)**
  - **Oversight means:**
    - ✦ **Audits**
    - ✦ **ramp inspections**
    - ✦ **flight inspections**
    - ✦ **navigation (ground) inspections**
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# Findings - organisations





## Enforcement - persons

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➤ **Part-AR provides grounds for enforcement measures and penalties:**

★ **to persons:**

- ➔ holding a licence, certificate, rating, authorisation or attestation
- ➔ or just having a direct obligation established by the rules

★ **by competent authority**

- ➔ of issuance; or
  - ➔ that raised the finding
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## Collective oversight

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- Each MS is **responsible** for oversight of activities **in its territory**
  - Oversight coordinated **between all MS** involved
  - **Findings reported** to certifying authority
  - **Cooperation** between authorities for **enforcement**
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## Ramp inspections

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- Generalisation of SAFA inspections
    - ✦ All aircraft
    - ✦ All aspects (CC)
  - Spot checks
  - Prioritised inspections
    - ✦ List of operators to monitor
  - Annual quota
  - Findings to relevant authority (EASA if third country operator)
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## Safety programme: The ICAO requirements

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- States shall establish a State safety programme, in order to achieve an acceptable level of safety.
- Acceptable level of safety to be achieved shall be established by the State.
- States shall require, as part of their State safety programme, that an [organisation] implement a safety management system acceptable to the State of the Operator [...].



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## European Aviation Safety Programme (EASP)

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- Because of the sharing of competences between EU and MS, MS cannot establish a SSP
    - ✦ Collaboration between European Commission, EASA and Member States is needed
  - Elements for a EASP are being drafted by an informal group, to be presented to all Member States
    - ✦ Objective is to have a manual along the lines of the ICAO SSP framework
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### 3. Authority requirements OPS – AR.OPS



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## AR.OPS Content

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### ➤ Subpart AR.OPS

- ✦ Specific requirements for operations – operator certification and declaration
- ✦ Recognition of industry standards for oversight purposes
- ✦ Approval of individual flight time limitation schemes
- ✦ Specific approvals (OPS.SPA)



## AR.OPS Content

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- ✦ The Competent authority shall issue an AOC when it is satisfied that the operator is in compliance with Part OPS and Part OR
  - ✦ The certificate shall include the associated operations specifications and general conditions
  - ✦ In the case of minor amendments to the operations manual not affecting the terms of the certificate, the competent authority shall ensure that it has an adequate control over the approval of all manual amendments.
  - ✦ The competent authority shall prescribe the conditions under which an operator may operate during such changes.
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## AR.OPS Content

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### Code share arrangements 1

- ★ Before authorizing → the CA shall make sure that the third country operator
  - Holds an authorisation in accordance with Part TCO
  - Is in compliance with Annex IV of the Basic Regulation (audit report provided by the Community operator)
  - There are no open findings on the third country operator



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# AR.OPS Content

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## ➤ Leasing (wet and dry)

- ✦ A lease-in agreement of a third country aircraft used by a Community operator can only be authorised when the conditions in OR.OPS.030.AOC are complied with.
- ✦ The authorising competent authority is the one which has certified the operator.



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## AR.OPS Content

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### ➤ Specific operations approvals

- ★ The competent authority shall issue an approval, including operational specifications after it has verified compliance with OPS.SPA.020.GEN.
- ★ The competent authority shall agree with the operators it certifies the scope of the changes to the organization's procedures that require prior approval.





# AR.OPS Content

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## ➤ MEL

- ✦ The competent authority shall issue an MEL approval after it has verified compliance with the applicable requirements.
- ✦ The competent authority shall approve a procedure for the extension of the applicable Rectification Intervals notified by an operator when the conditions in OR.OPS.020.MLR are complied with.



## AR.OPS Content

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- **CS's and individual FTL specification schemes**
    - ✦ The competent authority shall evaluate individual flight time specification schemes to verify compliance with the safety objectives and applicable requirements of the Basic Regulation.
    - ✦ It shall submit the individual flight time specification scheme to EASA for approval.
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## 4. Authority requirements Cabin crew – AR.CC



➤ **Part AR Subpart CC**

★ **Section I Organisations providing cabin crew training**

→ Approval of organisations providing cabin crew training

★ **Section II Cabin crew attestations**

→ Procedures for the issue of a cabin crew attestation

→ Format and specifications for cabin crew attestations

→ Limitation, suspension or revocation of cabin crew attestations

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## AR.CC

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- **CC competence related elements subject to national provisions**
    - ★ **BR does not require common criteria to be specified for the approval of organisations providing cabin crew training**
      - NPA proposal: approval based on national requirements/procedures
    - ★ **BR does not require common criteria to be specified for the qualifications of instructors and examiners**
      - Area remaining subject to national provisions
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- ★ **BR does not require the issuance of medical certificates**
    - ➔ NPA proposal: since medical assessment of unfitness may lead to limitation, suspension or revocation of the cabin crew attestation by the competent authority, information shall be provided by the AME to the said competent authority
      - ➔ but only in case of 'suspected unfitness' or 'unfit assessment', and
      - ➔ according to procedures to be established by the competent authority
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**Thank you  
for your attention**

**Willy Sigl  
EASA**